

Regional Office for Asia & Pacific  
United Nations Service Building, 2<sup>nd</sup> Floor  
Rajadamnern Nok Avenue,  
Bangkok 10200,  
Thailand



## **AGREEMENT between UNOPS and IOM**

This Agreement is made between

**UNITED NATIONS OFFICE FOR PROJECT SERVICES (UNOPS)**  
(herein after referred to as 'UNOPS' or 'the Fund Manager')

and

**INTERNATIONAL ORGANIZATION FOR MIGRATION**  
represented by the Chief of Mission, IOM Mission in Yangon,  
Yangon International Hotel, 330 Ahlone Road, Yangon, Myanmar  
(herein after referred to as 'IOM')

Collectively herein referred to as the Parties

- I. This Agreement, including its Annexes which are integral part thereof, relates to a financial contribution to be made by UNOPS, as the Fund Manager of the 3D Fund, within the frame work of the Three Diseases Fund (herein after the 3D Fund) to IOM towards Three Diseases Fund Project which is outlined in Annex I hereto, and which is hereinafter referred to as the 'Project'.
- II. The budget amount approved for the activities to be provided by IOM in terms of this agreement is referred to as 'the contribution' and is set out in Annex I.

### **III. Responsibility**

1. IOM shall be responsible for providing administrative and technical support, planning, implementation, monitoring and evaluation of the Project and for providing quality assured services and undertaking the activities required to effectively implement and monitor the project to the satisfaction of the 3D Fund Manager.
2. Any changes/ modifications in the work plan (such as target groups, coverage areas, or key strategic approaches) must be submitted for pre-approval by UNOPS. Requests will include a justification and an appropriately revised work plan and budget. Changes can only be acted upon after written approval by UNOPS.
3. UNOPS shall be responsible for the provision of funds to IOM for the Project, in accordance with the terms of this Agreement.

### **IV. Financial arrangements**

#### **1. Schedule of payments**

The total amount of the contribution shall not exceed **USD 1,057,720**.

The contribution shall be paid

- 50% of project budget upon signature of the agreement and submission of a request for payment.
- 50% of project budget 6 months after signing of contract

2. Payment of contribution

The contribution shall be deposited according to the above schedule of payments in the following IOM's bank account:

Citibank N.A.. SWIFT BIC : CITIUS33  
Account name: IOM Geneva  
Account no: 30557053  
ABA 021000089  
Citibank, N.A., 399 Park Avenue, New York, NY 10043

3. Utilization of funds and accounting

- (i) The contribution shall be used for the purposes indicated in Annex 1 hereto and shall be administered in accordance with the Financial Regulations and Rules, and financial and administrative rules and practices of IOM and with the provisions of this Agreement.
- (ii) The contribution cannot be used for the following charges: customs duty for procurement, previous obligations, bad debts, fines and penalties, land and property.
- (iii) No disbursements under this Agreement will be made to Government agencies bank accounts.
- (iv) All in country payments to national partners will be made in local currency.
- (v) Under this Agreement, IOM shall not incur administration cost overheads as a fixed percentage of the project budget, however such costs can be included in the budget as direct costs and do not exceed 7% of total costs, excluding procurement, for which such costs do not exceed 4%.
- (vi) Any interest earned on the cash balance of the contribution shall be returned to the 3D Fund or used only for purposes as set forth in the approved proposal work plan and budget, with the written concurrence of UNOPS.
- (vii) Income and expenditure recorded in respect of the contribution shall be identified and kept separately by IOM.
- (viii) The disposition of any balance of the contribution that is outstanding at the time of completion of the Project, or of termination of this Agreement, and after all obligations by IOM prior to completion or termination have been fully liquidated, and any supplies and equipment remaining in the Project shall be returned to UNOPS unless otherwise mutually agreed.
- (ix) IOM may vary the budgeted cost of any single input in the budget funded by the 3D Fund, provided that (a) the variation is, cumulatively, not more than ten percent (10%) of the major budget heading (see the Fund Manager's chart of accounts); (b) the variations are within the scope of the work plan, not new or unplanned activities; and (c) the total amount approved by the Fund Manager is

not exceeded. Any variation exceeding ten percent (10%), or which involve new, unplanned activities as addressed in the paragraph below, requires prior written request and consent of the Fund Manager and submission of a detailed justification and an appropriately revised budget.

## **V. Implementation**

### **1. Period of implementation**

The starting date of the Project shall be **1 May 2007**. The completion date of the Project shall be **1 May 2008**. IOM shall have no obligation to implement the Project unless all necessary and sufficient funds for the implementation have been received by IOM as per section IV art 2 above.

A period of three months shall be allowed after completion of the Project, or of any termination of this Agreement, to liquidate all obligations for activities completed by IOM prior to completion or termination.

## **VI. Reporting**

### **1. Technical**

IOM shall transmit to UNOPS the following financial reports in respect of the Project:

- (i) 6-monthly interim technical reports on the progress on the activities financed by the contribution as per Annex 1. Such reports must be received, for the period covering May – October 2007 no later than on 1 December 2007, and for the period covering November 2007 – April 2008, no later than 1 June 2008.
- (ii) A final technical report covering the activities financed by the contribution as per Annex 1 to be received by UNOPS no later than 1 June 2008.
- (iii) Additional reports as may reasonably be required by UNOPS in connection with its obligations to submit reports to the donors.

### **2. Financial**

IOM shall transmit to UNOPS the following financial reports in respect of the Project:

- (i) Interim financial reports of income and expenditure shall be provided to UNOPS, in the prescribed format, on a 6-monthly basis. The reporting of expenditures shall also include the administrative cost as direct cost of the activity. Such reports must be received, for the period covering May – October 2007 no later than on 1 December 2007, and for the period covering November 2007 – April 2008, no later than 1 June 2008.
- (ii) A yearly certified financial statement to be received by UNOPS no later than 1 June of the following year.
- (iii) A final financial statement of income and expenditure, after settlement of all obligations for activities started by IOM prior to 15 July 2008 or upon early termination of this Agreement.
- (iv) A yearly inventory list of non-expendable equipment.

## **VII. Audit**

It is understood that all contributions to IOM are subject exclusively to its internal and external auditing procedures.

### **VIII. Acknowledgement**

In consultation with UNOPS, IOM will make an appropriate acknowledgement of the 3D Fund contribution in any of its publications and/or reports about or emanating from the Project. In the absence of the consent of the other party, neither party may otherwise refer to the contribution or to the relationship between the parties in any material of a promotional nature. In addition, IOM shall be obliged to display prominently the 3D Fund logo at service delivery sites. Donors shall always be entitled to make reference to their donations in their internal documents and in their annual reports.

### **IX. Termination**

Either party may give the other written notice of termination of this Agreement. Such termination shall enter into effect one month after notice has been received, subject to the settlement of any outstanding obligations.

### **X. General**

1. Any amendments to these arrangements shall be effected by mutual agreement through an appropriate supplementary Letter of Agreement.
2. IOM shall be solely liable for claims by third parties arising from the IOM's acts or omissions in the course of performing this Agreement and under no circumstances shall UNOPS or its representatives be held liable for such claims by third parties.
3. Any dispute relating to the interpretation or application of this Agreement shall, unless amicably settled, be subject to conciliation. In the event of failure of the latter, the dispute shall be settled by arbitration. The arbitration shall be conducted in accordance with the modalities to be agreed upon by the parties. The parties shall accept the arbitral award as final.
4. Nothing in or relating to this Agreement shall be deemed a waiver of any of the privileges and immunities of UNOPS or IOM.

Accepted on behalf of UNOPS:



Name: Mr Sunil Bhargava  
Title: Regional Director (UNOPS)  
Regional Office for Asia and Pacific  
Place: Bangkok, Thailand  
Date: 11/5/07.

Accepted on behalf of IOM:



Name: Ms. Isabelle Bouan  
Title: Chief of Mission  
IOM Mission in Yangon  
Place: Yangon, Myanmar  
Date: